

Properties of Summations

$$\sum_{k=0}^n a_1 x^k = \frac{a_1(1-r^{n+1})}{1-r}$$

$$\sum_{k=1}^n ca_k + b_k = c \sum_{k=1}^n a_k + \sum_{k=1}^n b_k$$

Arithmetic $\sum_{k=1}^n k = \frac{n(n+1)}{2}$

Geometric

$$\sum_{k=0}^n x^k = 1 + x + x^2 + \dots + x^n = \frac{x^{n+1} - 1}{x - 1}$$

$$\sum_{k=0}^{\infty} x^k = \frac{1}{1-x}, |x| < 1$$

Harmonic

$$H_n = 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} = \sum_{k=1}^n \frac{1}{k}$$

Asymptotic Notation

$$O(g) = \{f \mid \exists c, n_0 > 0 \forall n \geq n_0, 0 \leq f(n) \leq c \cdot g(n)\}$$

$$\Omega(g) = \{f \mid \exists c, n_0 > 0 \forall n \geq n_0, 0 \leq c \cdot g(n) \leq f(n)\}$$

$$(n) \in \theta(g) \Leftrightarrow \exists c_1, c_2, n_0 \forall n \geq n_0, c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$$

$$f \in O(g) \Rightarrow g + f \in \theta(g)$$

$$k \cdot n^a \in \theta(n^a), k > 0$$

$$f \in O(g) \Leftrightarrow g \in \Omega(f)$$

$$f \in \theta(g) \Leftrightarrow f \in O(g) \wedge f \in \Omega(g)$$

Logarithm Laws

$$\log_b b = 1 \quad \log_b(1) = 0$$

$$\log(ab) = \log(a) + \log(b)$$

$$b^{\log_b y} = y$$

$$\log\left(\frac{1}{a}\right) = -\log(a)$$

$$\log_b(M^k) = k \log_b M$$

$$\log_b(b^k) = k$$

$$x = \log_b(a) \rightarrow b^x = a$$

$$\log x < x \quad \forall x > 0$$

Recurrences - Master Method

Case 1: f Asymptotically Smaller
 $T(n) \in \theta(n^{\lg_b(a)})$
 $\Rightarrow f(n) \in O(n^{\lg_b(a)-\epsilon}), \epsilon > 0$

Case 2: f Asymptotically Same
 $T(n) \in \theta(n^{\lg_b(a) \lg n})$
 $\Rightarrow f(n) \in \theta(n^{\lg_b(a)})$

Case 3: f Asymptotically Larger
 $T(n) \in \theta(f(n))$
 $\Rightarrow f(n) \in \theta(n^{\lg_b(a)+\epsilon})$

If $af\left(\frac{n}{b}\right) \leq cf(n)$ for $c < 1$

Case 2+: f larger, not asymptotically larger
 $(n^{\lg_b(a) \lg n}) \rightarrow \theta(n^{\lg_b(a) \lg^{k+1}(n)})$

Substitution Method

Guess solution, prove by induction

$$T(n) = \begin{cases} 2, & n = 2 \\ 2T\left(\frac{n}{2}\right) + n, & n = 2^k \forall k > 1 \end{cases}$$

$\Rightarrow T(n) = n \lg n, n = 2^k, k \geq 1$

Assume $T\left(\frac{n}{2}\right) = \frac{n}{2} \lg\left(\frac{n}{2}\right)$

Prove for $n = 2^k$

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$= 2\left(\frac{n}{2}\right) \lg\left(\frac{n}{2}\right) + n$$

$$= n(\lg n - \lg 2) + 2 = n \lg n$$

Graph Data Structures

Adjacency List: + sparse graphs
Space $\theta(V + \sum_{v \in G, V} \text{outDeg}(v)) = \theta(V + E)$
Time is Adjacent To $O(V)$
Time has Edge $\theta(1)$
Adjacency Matrix: + dense graphs
Space $\theta(V^2)$
Time is Adjacent To $O(1)$

Undirected Graphs

Must not have any self-loops.
 $|E| \leq |V|^2$
Path: Length k from $v_0 \rightarrow v_k$
 $\langle v_0, \dots, v_k \rangle, (v_{i-1}, v_i) \in G, E$
 $\forall i \in \{1, \dots, k\}$

u is **reachable** from v if \exists path from u to v

Simple Path if all vertices distinct
Path is Cycle if $v_0 = v_k \wedge k \geq 1$
Simple Cycle If all v and e unique
Connected if every vertex is reachable from all other vertices

$|V| - 1 \leq |E| \leq \frac{n(n-1)}{2}$

Forest if it is acyclic
 $0 \leq |E| \leq |V| - 1$

Tree if it is a forest with one connected component
 $|E| = |V| - 1$

Directed Graphs

Strongly Connected Every two vertices reachable
 $G' = (V', E')$ **Subgraph** if
 $V' \subseteq V, E' \subseteq E$

G' **Spanning Subgraph** if $V' = V$
Breadth-First Search $O(V + E)$
Unwt Single-Source Shortest Path
bfs(G, v)

```
for u in G.V - {v}:
    u.d = ∞; u.color=WHITE
v.d = 0; v.color = GREY
Q = {v} // Uses a Queue.
while Q.size != 0
    curr = Q.dequeue()
    for u in G.adj[curr]
        if u.color == WHITE
            u.d = curr.d + 1
            u.color = GREY
            Q.enqueue(u)
    curr.color = BLACK // done
θ(V + 1 + V × 1 + deg(V) × 1) = θ(V + E)
```

Not guaranteed shortest path.

Depth-First Search $O(V+E)$

```
dfs(G)
t=0
for u in G.V: {u.c = WH; u.pi=-1}
for u in G.V:
    if u.color = white {visit(G, u)}
visit(G, v)
v.color=grey; t++; v.disc=t
for u in G.adj[v]
    if u.color = white:
        u.pi = v
        visit(G, u)
v.color=black; t++; v.fin = t
```

Directed Acyclic Graphs

TopoSort: Linear ordering of V s.t. if $(u, v) \in E$ then u before v .
All vertices with directed edges to v appear before v .
Topo-sort(G) $O(V + E)$
Init empty linked list of vertices
Call DFS(G)
As each vertex finished, add to front of list
Return list of vertices in descending order of DFS finishing time.

Prim's Algorithm

Finds **MST** of weighted, undirected graph using least-weight edges.
Base Case: T if tree is spanning
Recursive $T \cup \{(u, v)\}$ where (u, v) is the least-weight edge leaving T
 $O(V \times T_{\text{ext-min}} + E \times T_{\text{dec-key}})$

Array $O(V^2)$
Fibonacci Heap $O(E + V \lg V)$
Binary Heap $O(E + \lg V)$
mst-prim(G, w, r)
for each v in V { $v.k = \infty, v.\pi = -1$ }
 $r.\text{key} = 0$
 $Q = G.V$
while $Q.\text{size}() \neq 0$
 $u = Q.\text{remove-min}()$
for each v in $G.\text{adj}[u]$
if v in Q and $q(u, v) < v.\text{key}$
 $v.\text{key} = w(u, v)$
// decrease the key
 $v.\pi = u$

Kruskal's Algorithm Greedy $E \lg E$
Finds minimum spanning forest. If graph is connected, finds **MST**.
Create graph with $|V|$ forests.
Add least-weighted edge connecting any two forests together. Terminate when T is connected.
Uses a **disjoint forest** data structure.
Make-set $O(1)$
Find-set $O(1)$ normally, $O(\lg n)$
Union(x, y) runs in almost $O(1)$
mst-kruskal(G, w) $\theta(E \lg E)$
 $T = \{\}$
For $v \in G.V$ {make-set(v)}
Sort $G.E$ in non-decreasing order by weight
for $(u, v) \in G.E$ (sorted)
if find-set(u) \neq find-set(v)
 $T = T \cup \{(u, v)\}$
union(u, v)
return T

Dijkstra's Algorithm Greedy

Single-Source Shortest Path
Finds shortest path tree of weighted graph G with no negative weight edges.
 $\theta(V \times T_{e-\text{min}} + E \times T_{\text{dec-key}})$
Array $O(V^2 + E * 1) = O(V^2)$
Binary Heap $O(V \lg V + E \lg V)$
Fibonacci Heap $O(E + V \lg V)$
Not guaranteed for graphs with negative weight edges, as it will not re-explore paths (via newly explored vertices).
Let $A = \text{source}, B, C$.

$e(A, B) = 5, e(A, C) = 6, e(C, B) = 3$
(1) Set $d(A) = 0$ as source
(2) Set $d(B) = 5$ $d(B) > d(A) + w(A, B)$
(3) Set $d(C) = 6$ $d(C) > d(A) + w(A, B)$
 \rightarrow no check $d(B) = d(C) + w(C, B) = 3$

Dijkstra(G, weight, source):
Init-single-source(G, s)
Visited = {}; $Q = G.V$;
While $Q.\text{size} \neq 0$
 $u = Q.\text{extract-min}()$
visited.add(u)
for $v \in G.\text{adj}[u]$ relax(u, v, w)
init-single-source(G, s)
for $v \in G.V$ { $v.d = \infty, v.\pi = \text{NIL}$ }
 $s.d = 0$
relax(u, v, w):
if $v.d > u.d + w(u, v)$
 $v.d = u.d + w(u, v); v.\pi = u$

Bellman Ford

Single-Source Shortest Path in Weighted Directed Graph
Bellman-Ford(G, w, s)
init-single-source(G, s)
// Relax each edge $|V| - 1$ times
For $(u, v) \in G.E$
if $v.d > u.d + w(u, v)$
return False
// Negative wt cycle
return True

Floyd Warshall [Dynamic]

All-Pairs Shortest Paths $O(|V|^3)$
Number vertices from $0..|V| - 1$
Let $sp(i, j, k)$ be the shortest path from $i \rightarrow j$ using k
Floyd-warshall
 $N = W.\text{rows}$ $D^{(0)} = W$
For $k = 1..n$
Let $D^{(k)} = (d_{ij}^{(k)})$ be $n \times n$ mat
For $i = 1..n$ { for $j = 1..n$ {
 $d_{ij}^{(k)} = \min(d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$
}}
return $D^{(n)} - T(n) \in \theta(n^3)$

Greedy Problems

Greedy problems exist when a problem has optimal substructure and greedy choice property. Solve problems by making greedy (locally optimal) choice and solving only chosen sub-problem.

Greedy Choice Pr Given problem, and the class of problems that can be solved in polynomial time on a containing very vertex, starting, solution will yield optimal solution without having to solve all other sub-problems

Dynamic Programming

Efficiency from avoiding re-computing sub-problems

May apply to problems with optimal substructure in which optimal solution can express as optimal solutions to subproblems.

Bottom-Up Solve base case first

Memoisation Solve top-down like recursive algo – worse constant fs

$$LCS(\langle \rangle, S_2) = LCS(S_1, \langle \rangle) = 0$$

$$LCS(S_1.X, S_2.X) = LCS(S_1, S_2) + 1$$

$$LCS(S_1.X, S_2.Y) = \text{MAX}(LCS(S_1, S_2.Y), LCS(S_1.X, S_2)), X \neq Y$$

Amortised - Aggregate Method

Argue that a series of n operations is completed in $T(n)$ – each operation has amortised cost $\frac{T(n)}{n}$.

Amortised – Accounting Method

Focus on data structure operation

- 1 Calculate the actual cost c_i of each type of operation
- 2 Assign an amortised cost \hat{c}_i to each operation

For any sequence of operations, amortised cost must be an upper bound on actual cost

$$\sum_{i=1}^n \hat{c}_i \geq \sum_{i=1}^n c_i$$

Credit stored is difference between amortised cost and actual cost.

Potential Method

- 1 Determine the cost of each operation
- 2 Define a potential function on data structure

Amortised cost of an operation:

$$\hat{c}_i = c_i + (\Phi(D_i) - \Phi(D_{i-1}))$$

For any sequence of operations, amortised cost must be an upper bound on actual cost.

Amortised cost = sum of c_i

Obligated to show $\Phi(D_i) \geq \Phi(D_0)$

True if $\Phi(D_0) = 0, \Phi(D_i) \geq 0$

Push / Pop / Multipop

Actual: 1, 1, $\min(|S|, k)$

$\Delta\Phi$ 1, -1, -k'

Amortised: 2, 0, 0

Complexity Theory - Polynomial Reductions

Let X be a problem. If you can solve X in polynomial time on a serial random-access machine. problem is reducible to X then X is NPH.

Same as the class of problems that can be solved in polynomial time on an abstract Turing machine, **Reduce HAM-CYCLE to TSP**

Hamiltonian Cycle: Simple path through unweighted graph containing very vertex, starting, parallel compute where the and ending at the same vertex.

Use TSP to solve HAM by set number of processors grow polynomially with input size. weight to 0 if edge exists, else 1.

Closed under addition, multiplication, and composition:

Addition: Run one polynomial time algorithm after another is still $O(n^k)$

Multiplication: Run a polynomial time algorithm a polynomial number of times is still polynomial time

Composition: Feeding the output of a polynomial time algorithm (which is at most polynomial in size) to another polynomial time algorithm is still polynomial.

Alternatively, run a polynomial-time algorithm on an input of polynomial size.

Complexity Theory – NP

Non-Deterministically Polynomial

Set of concrete problems for which a solution (certificate) can be checked/verified in polynomial time.

Problems for which we can't even verify a solution in polynomial time are unlikely to have a polynomial time solution

Trivially, we know that $P \subset NP$

NP Hard A concrete decision problem B is NP-hard when every problem $A \in NP$ is polynomial-time reducible to B

NP Complete It is NP-H and its solution can be verified in polynomial time

Complexity Theory – Classes

Focus on concrete decision probs:

Decision Output 1 or 0 as sol'n
Optimisation problem usually have closely related decision problems.

If the optimisation problem is easy, the related decision problem is also easy.

Abstract: Problem that takes any input and maps to a solution.

Binary relation as there may be multiple solutions for a given problem instance.

Concrete: Problem that has set of binary strings as input.

Encodings: Translate abstract problems to concrete problems

□ **Master Method** – added the final $\Theta(\dots)$ answer.

□ **Dynamic Programming** – Check that using max or min in the right way – trying to max/min a particular value.

Pseudocode – populate the base case first before computing the further cases

Return a value